*Lactobacillus fermentum*: Could the EPS production ability be responsible for the functional properties?

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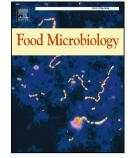
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11	ABSTRACT
12	Exopolysaccharides (EPS) production is a characteristic that has been widely described for
13	many lactic acid bacteria (LAB) of different genera and species, but little is known about the
14	relationship between the functional properties of the producing bacteria and EPS synthesis.
15	Although many studies were addressed towards the application of EPS-producing LAB in the
16	manufacture of several dairy products (fermented milk, cheese) due to their interesting
17	technological properties (increased hardness, water holding capacity, viscosity, etc.), there are not
18	many reports about the functional properties of the EPS extract itself, especially for the genus
19	Lactobacillus. The aim of the present revision is to focus on the species Lactobacillus fermentum
20	with reported functional properties, with particular emphasis on those strains capable of producing
21	EPS, and try to establish if there is any linkage between this property and their functional/probiotic
22	roles, considering the most recent bibliography.
23	
24	Keywords: Lactobacillus fermentum; exopolysaccharides; functionality, probiotic.
25	

### 26 1. INTRODUCTION

The genus Lactobacillus is a common member of the small intestine of humans and other 27 mammals (Stearns et al., 2011; Xiao et al., 2015) and it seems to play an important role in 28 maintaining the normal intestinal homeostasis. From a functional point of view, the presence of this 29 30 genus within the intestinal microbiota has been linked to a healthy status of the host and, in general, low levels have been associated with specific health problems as IBS (Irritable Bowel Syndrome; 31 32 Liu et al. 2017). Therefore, its addition as a probiotic supplement could help to balance an aberrant intestinal microbiota (Staudacher et al., 2017). The term "probiotics" refers to "live microorganisms 33 34 which, when administered in adequate amounts, confer a health benefit on the host" (Hill et al.,

35 2014). This term was recently discussed, giving more precision and consistency for its correct application (Reid et al., 2019). Some strains with demonstrated probiotic properties were 36 successfully included in diverse functional foods, and, although the mechanisms by which they 37 exert their action are still uncertain, the health-promoting effects of these strains were related to the 38 biological activities of these biopolymers. In fact, diverse scientific reports indicated that EPS from 39 40 diverse Lactobacillus species can contribute to human health by means of numerous demonstrated effects: prebiotic role, modulation of the immune system, antioxidant, antitumor, antiulcer or 41 42 cholesterol-lowering activities, etc. (Ruas-Madiedo et al., 2002; Castro-Bravo et al., 2018).

Recently, the probiotic potential of some strains of *Lactobacillus fermentum* has been 43 44 intensively studied, demonstrating several health benefits. L. fermentum is an obligate heterofermentative lactic acid bacteria (LAB) commonly found in fermented vegetables (Di Cagno 45 et al., 2008; Offonry and Achi, 1998; Pulido et al., 2012; Sánchez et al., 2000; Seseña and Palop, 46 2007). Besides, it is part of the infant gut (Ahrne et al., 2005; Kirtzalidou et al., 2011), vaginal 47 (Pavlova et al., 2002) and human breast milk microbiota (López-Huertas, 2015). Furthermore, this 48 species was frequently isolated from the fecal microbiota of healthy elderly people (Park et al., 49 50 2015; Silvi et al., 2003). Being generally recognized as safe (GRAS, as all LAB), strains of L. fermentum have been widely applied in various food fermentations (cocoa and Iberian dry-51 52 fermented sausages, for example; Lefeber et al., 2011; Ruiz-Moyano et al., 2011) and, although it 53 is mainly associated with the industry of fermented vegetables (grains, purées, etc.), it is also 54 naturally present as secondary flora in diverse varieties of cheeses (de Souza et al., 2018). As other 55 LAB, some L. fermentum are able to produce exocellular polymers or exopolysaccharides (EPS) which can be secreted to the medium (slime EPS) or remained attached to the cell wall (capsular 56 57 polysaccharides or CPS). These molecules are frequently used by the food industry, for example, by the application of EPS-producing strains in the manufacture of fermented milk and different types 58 of cheese for improving their textural and organoleptic properties. Some EPS are able to reduce 59 syneresis in yogurts due to their high water-holding properties (Ale et al., 2016b) and they can be 60 61 used in low-fat dairy products allowing the design of healthy products with acceptable sensory 62 characteristics (Ryan et al., 2015).

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One of the most representative probiotic strains of this species is L. fermentum CECT 5716, isolated from human breast milk, whose immunomodulatory, anti-inflammatory, and anti-64 65 infectious properties were demonstrated (Olivares et al., 2007; Pérez-Cano et al., 2010). Recently, this strain was proposed as an alternative for the prevention of vascular disorders caused by lupus 66 erythematosus (Toral et al., 2019). In other cases, a high production of exopolysaccharides (EPS) 67

68 was reported but, until now, the functional properties of these EPS<sup>+</sup> strains were not effectively

69 associated with the EPS synthesis, contrary to what was reported for some Bifidobacterium strains 70 (Fanning et al., 2012; Hidalgo-Cantabrana et al., 2014; López et al., 2012; Salazar et al., 2014). 71 Besides, it has been reported that EPS produced by probiotic strains are able to interact with the 72 intestinal microbiota and can be used as carbon fermentable sources (prebiotic role) by some 73 beneficial commensal bacteria, as short chain fatty acid (SCFA) producers, even when the *in vivo* 74 synthesis of EPS has not been proven yet. However, as not all EPS can improve the technological 75 properties of fermented foods (Hassan, 2008), not all EPS are able to promote health benefits 76 (Amrouche et al., 2006), being their chemical structure and molecular weight (MW) relevant characteristics to define their health-promoting functions (Hidalgo Cantabrana et al., 2012). For this 77 78 reason, the structural characterization (MW, presence of charged residues, type of branches, etc.) of 79 these macromolecules is essential when EPS (as an ingredient) or EPS-producing strains are 80 included in a food matrix. In this direction, the deep knowledge about the EPS molecule nature 81 would allow us to infer the techno-functional properties of the final product. The repeating unit structures of EPS (or CPS) from only three L. fermentum (Table 1) were described so far. 82 In particular, the EPS extract from L. fermentum Lf2, a strain which was isolated by our 83 84 group as non-starter culture from Argentinean regional Tybo cheese, has demonstrated interesting functional properties (immunomodulation capacity, protection against Salmonella and 85 prebiotic/symbiotic roles) when added as a food ingredient in dairy matrices (Ale et al., 2016a; Ale 86 87 et al., 2016b; Ale et al., 2019). It represents, according to our knowledge, the first documented EPS from L. fermentum with functional properties demonstrated in vivo. 88 89 This review discusses the recently reported functional (probiotic) properties of L. fermentum and the capacity of different strains to produce EPS, focusing on the 90 91 immunomodulatory properties and antagonistic effects against bacterial pathogens. Despite the 92 increasing evidence that highlights the health-promoting properties of EPS and their active role when interact with the gut microbiota and the intestinal receptors, it would be interesting to 93 demonstrate the linkage between these molecules and the probiotic characteristics of the producing 94 95 strains; this way, new expectations would arise on the application of EPS-producing probiotic strains. The most relevant and recent reports about L. fermentum strains with functional properties, 96 97 indicating their origins and proven ability to produce EPS, were summarized in Table 2. 98 2. Lactobacillus fermentum: insights into its health promoting properties 99 100 One of the strains that has been widely studied regarding its functional properties was L.

*fermentum* CECT 5716, which was isolated from human milk (Cárdenas et al., 2015). This strain presented immunomodulatory, anti-inflammatory, and anti-infective (against *Saphylococcus* 

103 aureus) activities. Pérez-Cano et al. (2010) also demonstrated that it enhanced natural and acquired 104 immune responses, as evidenced by the activation of NK and T cell subsets and the expansion of Treg cells, as well as the induction of several cytokines. Recently, it was suggested as an alternative 105 106 approach to prevent systemic lupus erythematosus (SLE) (in vivo model) and its associated vascular 107 damage, since reductions in lupus disease activity, blood pressure and splenomegaly were observed 108 in treated mice. Also, CECT 5716-treated mice evidenced an increase in the levels of 109 *Bifidobacterium* in the gut microbiota, which was accompanied by a reduction of plasma 110 lipopolysaccharide (LPS) levels, indicating a possible improvement of the gut barrier integrity (Toral et al., 2019). This strain was sensitive to all antibiotics proposed by the European Food 111 112 Safety Authority (EFSA) standards, and no transmissible genes of antibiotic resistance were 113 detected in its genome, characteristics that allowed its application in food products. All these studies 114 made it possible to incorporate it as a probiotic under the commercial name LC40 in the infant milk Peques Heriditium, commercialized by Puleva (Spain). It was also added as a food complement 115 (Lactanza Hereditum) to restore the balance of the mammary flora and to reduce the rate of mastitis. 116 Furthermore, it was recently (2017) incorporated in two new infant formulas of the Chinese market 117 from Herds company. However, after over a year in the assessment process, the EFSA (2018) 118 rejected the application submitted by Biosearch Life under Article 14 of Regulation (EC) No 119 120 1924/2006, considering that no conclusions could be drawn from the informed results. This report 121 argued that a cause and effect relationship had not been well established between the consumption 122 of CECT 5716 and the reduction of *Staphylococcus* load in breast milk (that would decrease the risk 123 of mastitis). A recent patent (US20180050072A1) described a composition with L. fermentum GMNL-124 125 296 that improved the infection symptoms of *Clostridium difficile*, the main pathogenic bacterium

126 that causes diarrheas in patients under antibiotic treatments. Moreover, another approach studied the curative effect of L. fermentum L23 (isolated from human vagina) after vaginal administration in 127 128 female BALB/c mice infected with Gardnerella vaginalis (Daniele et al., 2014). The results 129 indicated that this strain was able to inhibit the pathogen at concentrations normally used in commercial formulas  $(2x10^7 \text{ CFU/mice})$ , suggesting that L23 could be used as a potential 130 biotherapeutic agent for the treatment of this urogenital infection. According to our experience with 131 in vivo trials and, although authors offered an interesting approach with promising results, it seems 132 133 that no scientifically valid results could be obtained from only 4 animals/group when this type of 134 effect is intended to be verified.

One of the traditional and simplest phenotypic features tested during *in vitro*characterization is the ability to coaggregate with pathogens, considering that co-aggregation

137 decreases the accessibility of the harmful microorganisms to the intestinal epithelium (Castro-Bravo et al., 2018). In this direction, Carmo et al. (2016) proposed L. fermentum ATCC 23271 as a 138 potential probiotic candidate to complement candidiasis treatment associated with genital 139 140 infections. Another strain of this species, L. fermentum TCUESC01, isolated from the fermentation 141 of fine cocoa, presented inhibitory activity against S. aureus CCMB262 biofilm production (Melo et 142 al., 2016). Furthermore, Ramos et al. (2013) screened a total of 234 LAB isolates from Brazilian 143 food products for their ability to survive at pH 2.0. Fifty-one of them survived and, among them, L. 144 fermentum CH58 exhibited antagonistic activity towards the pathogens Listeria monocytogenes and S. aureus. Finally, Veljovic et al. (2017) studied two strains, L. fermentum BGHI14 (isolated from 145 newborn feces of a breast-fed infant) and L. helveticus BGRA43 (from human intestinal tract), 146 147 which significantly reduced the adhesion of E. coli ATCC25922 to Caco-2 cells, individually and combined. In this work, a mixed probiotic culture composed of three thermophilic LAB (the both 148 mentioned strains and Streptococcus thermophilus BGVLJ1-44) was used for an interesting in vivo 149 farm test. This combination resulted in improved microbiota diversity in neonatal piglets (DGGE 150 analysis). Importantly, the number of *Enterobacteriaceae* in fecal samples collected from probiotic 151 152 treated sows was reduced in comparison to the untreated ones.

153 Apart from the reported inhibitory effects against pathogenic bacteria, L. fermentum was 154 also associated with diverse damage preventive effects. For example, by using a model of 155 chemically (dextran sulfate sodium) induced colitis in mice, Chen et al. (2018a) demonstrated 156 that L. fermentum HY01(isolated from traditional fermented yak yoghurt) exerted a preventive 157 effect by down-regulating the concentration of diverse pro-inflammatory factors. In a similar way, L. fermentum L-Suo (also isolated form yak yoghurt in China) was able to prevent mice from 158 159 activated-carbon-induced constipation (Suo et al., 2014). A recent study of the same group reported the impact of L. fermentum on liver injury in a murine model (Chen et al., 2018b). In this work, the 160 administration of a strain of L. fermentum (whose identity was not indicated) during 14 days to mice 161 162 with CCl<sub>4</sub>-induced liver disease decreased liver damage. Since the assays comprised both live and 163 heat-killed cells, authors hypothesized that the protection would be linked to some components of the strain, whose nature was not indicated. 164

165 The strain *L. fermentum* IM-12, an isolate from human fecal microbiota, also presented 166 immunomodulatory effects since it strongly suppressed IL-6 expression in macrophages and

- 167 exhibited anti-inflammatory effects in mice with carrageenan-induced paw oedema (CIE) or TNBS-
- 168 induced colitis (TIC) (Lim et al., 2017). Another strain, *L. fermentum* L930BB (isolated from
- 169 human bioptic samples of colonic and ileal mucosa) presented down-regulation of pro-

inflammatory cytokines (IL-6 and IL-12), together with the upregulation of anti-inflammatory IL-10
(Čitar et al., 2015).

Other *L. fermentum* strains were related to the improvement of the general oxidative *status*,
such as *L. fermentum* CRL1446 (Abeijón Mukdsi et al., 2012). This strain, together with *L. fermentum* CRL574, *L. fermentum* EFL2 and *L. fermentum* EFL3, were able to produced conjugated
linoleic acid (CLA) (Terán et al., 2015). Some studies have also indicated possible anticancer
properties (*L. fermentum* HM3, Shokryazdan et al., 2017).

177 Finally, L. fermentum was related to longevity improvement. This was the case of L. fermentum JDFM216, which was isolated from a Korean infant feces sample, and had the ability to 178 179 enhance the longevity and immune response of a Caenorhabditis elegans host (Jang et al., 2017; 180 Park et al., 2018). An association between L. fermentum and longevity was previously reported from two interesting studies where L. fermentum and Bifidobacterium longum were the most 181 representative species detected in the gut microbiome from healthy elderly subjects from Fermo, 182 Italy (Silvi et al., 2003) and three Korean counties (Park et al., 2015), suggesting an important role 183 of this species in maintaining the normal intestinal homeostasis in elderly people. 184

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### 3. EPS-producing *L. fermentum* and functional properties

187 There are many strains of the species *L. fermentum* with demonstrated probiotic properties 188 (*in vivo* and *in vitro*) and, at the same time, with the capacity to produce EPS. Table 2 lists the most 189 relevant *L. fermentum* strains with demonstrated functional properties with/without proven EPS 190 synthesis. Even though there is not clear evidence about the mechanisms that link these molecules 191 with these probiotic effects, in some cases, a direct relationship among them and the functionality 192 could be suggested. Nevertheless, in most cases, valid *in vivo* assays are necessary to associate the 193 functional response with the ability to synthetize EPS.

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#### 3.1. Inhibition of pathogenic bacteria

Regarding gastric health, *L. fermentum* UCO-979C is a human isolate able to form biofilms
on abiotic and biotic surfaces and to synthesize EPS with demonstrated anti-*Helicobacter pylori*activity, since it reduced its adhesion to human gut (*in vitro* model; García et al., 2017; GarcíaCastillo et al., 2018; Salas-Jara et al., 2016). This strain was able to modulate the cytokine response
of gastric epithelial cells and macrophages after *H. pylori* infection, reducing the production of
inflammatory cytokines and chemokines, and increasing the levels of immunoregulatory cytokines.
Besides, this strain maintained the anti-*H. pylori* inhibitory activity when encapsulated (Vega-

Sagardía et al., 2018). However, there are no reported studies beyond the simple indication aboutthe ability of this strain to produce EPS.

An interesting study was reported by Sharma et al. (2018), who recently evaluated the 205 206 antibacterial activity against P. aeruginosa PAO1 of eighty lactic acid bacteria isolated from 207 neonatal fecal samples. Among these, only four LAB produced simultaneously bacteriocins and EPS, but only one L. fermentum strain was found to maximally attenuate the P. aeruginosa PAO1 208 209 biofilm. This strain (with sequential accession number KT998657, NCBI database) was also able to 210 reduce the biomass of *P. aeruginosa* PAO1 as a result of pre-coating of the abiotic surface with the produced postbiotics (combination of bacteriocin and EPS), suggesting a synbiotic association. In 211 212 this particular case, a functional response associated to the EPS synthesis could be proposed, and 213 this hypothesis could be demonstrated by additional studies, for example, including an isogenic 214 strain without the ability to produce EPS as negative control.

Another example about antimicrobial properties is *L. fermentum* AI2 (Shah et al., 2016; Patel et al., 2012), specifically against two clinical pathogenic strains, *E. coli* NG 502121 and *S. aureus* AY 507047 in co-cultured assays. In this case, authors observed a significant reduction in the growth of both pathogens when co-cultured with AI2 and proposed a future study focused on the presence of other metabolites with antimicrobial activities apart from the organic acids, possibly the EPS from this strain.

221 From the complete high quality genome sequence of L. fermentum 3872, a number of EPS 222 production-related genes were found (Lehri et al., 2017a). In particular, *epsH* (Locus tag: 223 N573 008790) was predicted to participate in biofilm formation and may also contribute to the 224 protection against colitis. This evidence represents the first indication, at molecular level, of a clear 225 relationship between the synthesis of EPS and the functional potential of L. fermentum, although this exopolysaccharide has not been studied yet. In fact, this strain was part of a patented 226 consortium (L. fermentum RCM B-2793D (3872), L. crispatus VKM B-2727D, L. gasseri VKM B-227 228 2728D, L. plantarum VKM B-273D) which has probiotic properties that could be used for the 229 design of bacterial preparations and novel functional products. The complete consortium evidenced higher antagonistic activity against pathogenic and opportunistic microorganisms than the 230 231 individual strains (Abramov et al., 2014). Due to its anti-Campylobacter activity, authors suggested that L. fermentum 3872 could be potentially used for prophylaxis of such C. jejuni induced diseases 232 233 as traveler's diarrhea, inflammatory bowel disease and irritable bowel syndrome (Lehri et al., 234 2017b).

Recently, de Albuquerque et al. (2018) studied nine wild *Lactobacillus* strains isolated from
fruit processing byproducts (*L. plantarum* 53, *L. fermentum* 56, *L. fermentum* 60, *L. paracasei* 106,

L. fermentum 250, L. fermentum 263, L. fermentum 139, L. fermentum 141, and L. fermentum 296). 237 238 They were tested *in vitro* for a series of safety (antibiotic resistance), functional and technological properties. All of them were positive for EPS production and were able to co-aggregate with L. 239 240 monocytogenes and E. coli and antagonize pathogenic bacteria. Overall, L. fermentum 139 (47.4 241 mg/L EPS), L. fermentum 263 (55.1 mg/L EPS), and L. fermentum 296 (55.6 mg/L EPS) showed 242 the best performance. In a similar study, Owusu-Kwarteng et al. (2015) screened a total of 176 L. 243 fermentum strains isolated from West African fermented millet dough regarding their rate of 244 acidification, EPS production and amylase and antimicrobial activities. Among them, four EPS-245 producing L. fermentum strains (identified as 10–9, 4–20, 0–17 and 4–30), which were acid and bile resistant, showed inhibitory activities towards L. monocytogenes NCTC 10527 and S. aureus ATCC 246 247 1448 (agar well diffusion method). In a totally different approach, Adebayo-Tayo and Popoola (2017) used the EPS produced 248 by L. fermentum LPF6 for the biosynthesis of silver nanoparticles which had antibacterial activity 249 250 against pathogens (Bacillus sp., Streptococcus pyogenes, S. aureus, Klebsiella sp. and Pseudomonas aeruginosa). This particular case represents one of the few examples where the functional capacity 251

of the EPS from *L. fermentum* could effectively be demonstrated, since it was used as an extract. It
would be interesting to prove this same functional ability for the EPS-producing strain.

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## 3.2. Damage preventive properties and immunomodulatory effects

256 Some strains were related to the ability to participate, by unknown mechanisms, in kidney 257 health. In this direction, Sönmez et al. (2018) attempted to relate the oxalate-degradation rate of 258 different L. fermentum strains with the EPS production. Although no significant correlation was 259 found, the high-EPS-producing L. fermentum IP5 presented high oxalate-degrading activity when 260 compared with the low-EPS-producing strains. Authors hypothesized that EPS plays an important 261 role in oxalate-degrading activity, protecting strains from the toxic effects of oxalate. They 262 concluded that the dietary supplementation with L. fermentum IP5 could function as an interesting 263 strategy to prevent oxalate stone disease. Further in vitro and in vivo studies could help to identify and characterize the strain's bacterial cell wall components (EPS) that participate in the oxalate-264 265 degradation mechanisms.

Other EPS-producing *L. fermentum* strains were used to make fermented milk, such as *L. fermentum* J20 and J28 (Santiago-López et al., 2018). In this case, the Th1/Th17 response was evaluated in a murine model of inflammation induced with dextran sulfate sodium (DSS). The authors suggested as a preliminary hypothesis that this milk possibly reduced the inflammatory

270 response (decreasing the Th17 response) at week 6 because of the metabolites (EPS) or cell 271 components present in the product.

An interesting study was done for the strain L. fermentum FTDC 8312 which exerted a 272 273 cholesterol lowering effect in hypercholesterolemic mice. This property may be attributed to the 274 gut microbiota modulation (Lye et al., 2017) that was evidenced by an increase in the members of 275 genera Akkermansia and Oscillospira. From its genome sequence, five putative eps genes were 276 found from the best matched strain, L. fermentum MTCC 25067 (TDS030603), one of the most studied EPS<sup>+</sup> L. fermentum, but a correlation among the EPS production and its functionality has 277 278 not been established yet.

279 Finally, in a recent work, the antioxidant activity (in vitro and in vivo assays) of the cell-280 bond exopolysaccharide (c-EPS) from L. fermentum S1 was reported (Wang et al., 2019). This CPS 281 extract exhibited strong antioxidant activity in vitro and could improve the total antioxidant capacity in Caenorhabditis elegans, indicating that the CPS could be a potential effective 282 antioxidant to be applied in functional foods or drugs. 283

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# 3.3. L. fermentum Lf2: EPS as a functional food ingredient

286 L. fermentum Lf2 is deposited into the INLAIN (Instituto de Lactología Industrial, UNL-287 CONICET) collection and has been widely studied regarding its ability to synthesize EPS. It 288 produces, under controlled conditions (pH, temperature, time, culture media) 1 g/L EPS, 289 approximately, amount significantly higher than the reported for other LAB (Ale et al., 2016b). Its 290 draft genome sequence was recently published (Harris et al., 2018) and three potential EPS gene clusters were identified in different genomic regions (Figure 1). The first one is composed of eight 291 292 genes that code for a priming glycosyltransferase, a transcriptional regulator, a CPS synthesis 293 protein, transposases (already described in this species; Dan et al., 2009) and enzymes that are known to regulate the synthesis of these molecules (tyrosine phosphatase and tyrosine kinase). The 294 second cluster (fifteen genes) presents genes that code for a flippase, a chain length determinant 295 296 protein, several glycosyltransferases, a repeating unit polymerase and another priming glycosyltransferase, different from the first one. And the third cluster only has five genes that code 297 298 for glycosyltransferases and an EPS polymerization protein. Further studies are needed to understand the role of each gene in the EPS synthesis of this strain. 299 300 Additionally, its EPS yield could be doubled, reaching 2 g/L by the modification of the

composition of the semi-defined medium used (SDM; Kimmel and Roberts, 1998) and the 302 conditions of growth (Ale et al., 2019a). Among all the components of the broth, the proportions of

303 the nitrogen sources and the type of carbon source (sucrose showed better performance than

304 glucose) were the factors with the highest influence on EPS production, together with pH. Besides, 305 this extract presented interesting functional and technological properties, since it was able to protect 306 mice against Salmonella infection when resuspended in milk, it enhanced IgA levels in the 307 intestinal fluid when provided in yogurt, and decreased the levels of the pro-inflammatory cytokine 308 IL-6 in small intestine of mice when administrated in milk (Ale et al., 2016a). Regarding the 309 technological properties, the EPS extract provided yogurt with increased hardness and consistency 310 at concentrations feasible to be applied (300 and 600 mg/L). Furthermore, yogurt samples did not 311 present important sensory defects and enhanced syneresis as well (Ale et al., 2016b). The total EPS extract is mainly composed by three polysaccharides: a high molecular mass  $\beta$ -glucan 312 whose repeating unit is a trisaccharide  $(1.23 \times 10^6 \text{ Da})$  (Vitlic et al., 2019), and a combination of 313 two novel medium molecular weight heteropolysaccharides (weight average mass of  $8.8 \times 10^4$  Da) 314 315 composed of glucose and galactose. The first one has a main chain of  $\beta$ -1,6-linked galactofuranoses 316 which is non-stoichiometrically 2-O-glucosylated, while the second polysaccharide is a 317 heteroglycan with four monosaccharides in the repeating unit (unpublished). In this recent study, the immunomodulatory effect of the higher molecular weight  $\beta$ -glucan on peripheral blood 318 mononuclear cells was analyzed. The exposure of these cells to an aqueous EPS solution for 24 h 319 320 increased the proliferation and production of TNF- $\alpha$  (proinflammatory cytokine) compared to the 321 controls. However, when the treated cells (from which the EPS was removed) have subsequently 322 been exposed to bacterial LPS (lipopolysaccharide), very low levels of TNF- $\alpha$  were observed. This 323 would indicate that this fraction of EPS would provide immunotolerance to cells, being this ability 324 important for the development of therapies for the treatment of diseases such as ulcerative colitis 325 and Crohn's disease (associated with the excessive release of inflammatory mediators). In a recent work (Ale et al., 2019b), other functional properties of the EPS extract from this 326

327 strain were studied when incorporated as an ingredient in yogurt, individually or combined with a 328 probiotic autochthonous strain, Bifidobacterium animalis subsp. lactis INL1 (Zacarías et al., 2011; 329 2014; Burns et al. 2017). From an *in vitro* assay it was found that the EPS in its purified form 330 caused an increase in the levels of TNF- $\alpha$ , while this EPS extract in its purified and crude forms 331 produced an increase in the regulatory cytokine IL-10. Besides, it was observed (in vivo assay) that 332 the EPS-treated group presented increased concentrations of total SCFA in faeces, especially acetic and butyric acids, result that could be related to the increase of the levels of the Clostridium 333 334 coccoides cluster (SCFA-producing group) during time, suggesting a prebiotic role. Furthermore, a 335 possible bifidogenic role was observed for the group treated with the combination of B. animalis subsp. lactis INL1 and EPS (synbiotic effect), reflected in the increased levels of the genus 336 Bifidobacterium along time, fact that was not observed when the probiotic was administered solely. 337

All these characteristics make this extract attractive for the formulation of novel functional products. Thus, *L. fermentum* Lf2 represents, to our knowledge, the most studied EPS producing *L. fermentum* strain. Analysis related with the functional properties of this strain and the possible linkage between the potential health effects and its EPS are currently being addressed. Preliminary evidence from a chronic colitis murine model (unpublished) seems to associate the protection of animals from the symptoms with the EPS synthesis.

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# 5 4. EPS-producing *L. fermentum* and technological studies

Other *L. fermentum* strains were studied regarding their ability to produce EPS without 346 347 focusing on their functional properties. An extensively studied strain was L. fermentum MTCC 348 25067, with a yield of 100 mg/L of EPS in its purified form when grew in MRS broth (Leo et al., 2007; Fukuda et al., 2010). Although the chemical structures of the EPS obtained in MRS and in a 349 chemically defined medium supplemented with glucose, galactose, lactose or sucrose were similar, 350 351 their viscosities appeared to differ probably because of the differences in their molecular mass 352 distributions. The genome of MTCC 25067 was completely sequenced (Aryantini et al., 2017) and 353 the structure of the EPS was revised (Gerwig et al., 2013), indicating that the repeating unit is a 354 branched tetrasaccharide composed of glucose and galactose. Other authors reported a similar study 355 for the strain L. fermentum TC21, isolated from 'Tom Chua', a Hue traditional fermented shrimp. 356 They observed that, when the medium was supplemented with lactose and beef extract, the EPS 357 yield was the highest (405.7 mg/L). Recently, Luyen et al. (2018) reported the effects of 358 carbohydrate sources in various concentrations and the conditions of growth on EPS synthesis of L. 359 fermentum MC3. The results showed that adding different sugars to the culture medium 360 significantly increased the EPS production. The highest yield was obtained for glucose, reaching 361 178.2 mg/L. These reports are in accordance with our observations for L. fermentum Lf2, since the results obtained highlighted the importance of the carbon and nitrogen sources on EPS yield, 362 363 parameters that should be considered for making the industrial application of EPS feasible. 364 Another case is represented by L. fermentum 222, an isolate from a spontaneous Ghanaian

cocoa bean fermentation, which demonstrated to be an interesting starter culture for this process
(Illeghems et al., 2015). The genome sequence of this strain contained a 14-kb EPS biosynthesis
gene cluster, including a gene that codes for a dextransucrase, indicating that it would produce
homo-EPS (dextran type); however, no other information related to the EPS synthesis was
described in the bibliography.

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### 371 5. CONCLUSIONS

372	Considering all the recent literature revised, it is quite clear that the species L. fermentum					
373	should be considered of great importance when the search for novel probiotics is addressed.					
374	Different strains displayed encouraging therapeutic potential in terms of protection against					
375	pathogens, immunomodulation, anti-oxidation and promotion of a functional digestive tract.					
376	Moreover, although these activities were not always clearly related to the production of EPS, there					
377	is increasing evidence (still preliminary) that associates the health-promoting properties of these					
378	strains with the synthesis of these metabolites. In this sense, assessment of the tolerance of EPS to					
379	the gastrointestinal digestion and demonstration of EPS biosynthesis under <i>in vivo</i> conditions will					
380	be also required when EPS are proposed as food ingredients. It is also necessary to highlight the					
381	limitation of the application of these polymers due to the general scarce yields and the use of					
382	expensive culture media. Additionally, as for all proposed probiotic strains, it is always critical to					
383	verify the resistance to the different technological barriers (spray or freeze-drying, freezing, acidity,					
384	etc.) involved in the process when <i>L. fermentum</i> strains are incorporated into a food matrix.					
385	From our point of view, it is evident that certain EPS structures play central roles in the					
386	bioactivity of the producing strain and, in order to understand the mechanisms by which probiotic					
387	bacteria exert health benefits, the EPS structure seems to have an important impact. Even though					
388	these properties were already assessed in vivo (only in some cases) and in vitro, human trials should					
389	be carried out to validate the functional properties of the EPS-producing strains or the EPS as a					
390	functional ingredient itself.					
391	In conclusion, the following remarks could be summarized:					
392	• An increasing number of <i>L. fermentum</i> strains have demonstrated functional					
393	potential by in vitro and in vivo assays.					
394	• A high number of <i>L. fermentum</i> strains can produce EPS.					
395	• It is necessary to know whether, or not, the bacterial EPS from <i>L. fermentum</i> are					
396	responsible for the probiotic properties of the producing strains by means of					
397	adequate in vivo assays, for example, including isogenic EPS <sup>-</sup> strains.					
398	<ul> <li>The chemical characterization of these macromolecules is essential when EPS (as</li> </ul>					
399	ingredients) or the EPS-producing strains are included in a food matrix, since recent					
400	studies strongly associated these characteristics with their rheological and health-					
401	promoting properties.					
402	• It is crucial to demonstrate the functionality of <i>L. fermentum</i> strains and their EPS					
403	with human trials.					
404						
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415	Conflict of Interest
416	The authors declare that no conflicts of interest exist.
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<i>L. fermentum</i> strain	EPS repeating linit	
Lf2	→3)-β-D-Glcp-(1→3)-β-D-Glcp-(1→ 2 $\uparrow$ 1 β-D-Glcp	Vitlic et al. (2019)
MTC 25067	$ \rightarrow 3)-\beta-D-Glcp-(1\rightarrow 3)-\alpha-D-Glcp-(1\rightarrow 2 \\ \uparrow \\ 1 \\ \alpha-D-Galp \\ 6 \\ \uparrow \\ 1 \\ \alpha-D-Glcp $	Gerwig et al. (2013)
S1 (CPS)	$\rightarrow$ 3-α-D-Galp-(1→2)-β-D-Glcp-(1→2)-α-L-Rhap-(1→4)-β-L-Rhap-(1→3)-β-D-Galp-(1→3)-α-D-Glcp-(1→ 3 ↓ 1 α-D-Manp	Wang et al. (2019)
	SV.	

# **Table 1.** Repeating unit structures of EPS (or CPS, indicated) from different *L. fermentum* strains.

# 779 Figure captions

# 780 Figure 1. Preliminary EPS gene cluster of *L. fermentum* Lf2. Gene annotation was based on

781 BLASTx (NCBI database) and results with  $\geq 90$  % identity were considered.

L. fermentum strain	Origin	Functional properties	EPS production	Reference
AI2	Dhokla batter	It was able to inhibit the growth of <i>E. coli</i> and <i>S. aureus</i>	+	Shah et al. (2016); Patel et al. (2012)
FTDC 8312	School of Industrial Technology, University Sains Malaysia (Penang, Malaysia)	Reduction in serum total cholesterol levels, decrease in serum low-density lipoprotein cholesterol levels, increase in serum high- density lipoprotein cholesterol levels, and a decreased ratio of apoB100:apoA1 when compared to the control. The administration of FTDC 8312 also altered the gut microbiota population such as an increase in the members of genera <i>Akkermansia</i> , <i>Oscillospira</i> , <i>Lactobacillus and</i> <i>Desulfovibrio</i>	+ (eps-related genes)	Lye et al. (2017)
IP5	İzmir Tulum cheese	High oxalate-degrading activity. Dietary supplementation with the probiotic strain <i>L.</i> <i>fermentum</i> IP5 could be a promising strategy for the prevention of oxalate stone disease	+	Sönmez et al. (2018)
Isolate from fecal samples (accession N° KT998657)	Neonatal fecal samples	Attenuation of <i>P. aeruginosa</i> PAO1 biofilm. It produces EPS and bacteriocin	+	Sharma et al. (2018)
J20 and J28	Mexican Cocido cheese	Decreased inflammatory response in a murine model	+	Santiago-López et al. (2018); Heredia-Castro et

Table 2. Summary of the different *L. fermentum* strains regarding their origins, functional properties and proven EPS production abilities. The EPS
 producing strains are listed first (gray shaded).

				al. (2015)
Lf2	Argentine cheese	High EPS production. EPS provided prevention against <i>Salmonella</i> infection and presented immunomodulatory effects <i>in vivo</i> and <i>in vitro</i>	+	Ale et al. (2019; 2016a;b); Vitlic et al. (2018)
LPF6	Fermented food	Its EPS was applied in the synthesis of silver nanoparticles with antibacterial activities	+	Adebayo-Tayo et al. (2017)
MC3	Fermented bamboo shoots	High EPS yield, interesting for further research	+	Luyen et al. (2018)
MTCC 25067	Fermented milk	High EPS yield, interesting for further research	+	Gerwig et al. (2013); Fukuda et al. (2010); Leo et al. (2007)
RCM B-2793D (3872)	Milk of a healthy woman	Antagonistic activity against pathogenic and opportunistic microorganisms. When it is part of a consortium of strains, it has probiotic action and it is used for production of bacterial preparations and lactic acid products of functional nutrition. The consortium has a higher antagonistic activity against pathogenic and opportunistic microorganisms compared to individual strains of lactobacilli	+ (eps-related genes)	Lehri et al. (2017) Abramov et al. (2014)
S1	Fuyuan pickle	Potential natural antioxidant for application in functional foods	+ (CPS)	Wang et al. (2019)
TC21	Tom chua in Hue, Vietnam	High EPS yield, interesting for further research	+	Luyen et al. (2016)

UCO-979C	Human gastric tissue	Capacity to reduce adhesion of <i>H. pylori</i> to human gastric epithelial cells. Anti- inflammatory cytokine profile	+	Garcia-Castillo et al. (2018)
10–9, 4–20, 0–17 and 4–30	Fermented millet dough	Inhibition towards <i>Listeria monocytogenes</i> and <i>Staphylococcus aureus</i> . Interesting technological and functional properties	+	Owusu-Kwarteng et al. (2015)
222	Fermented Ghanaian cocoa bean	Interesting functional starter culture strain for cocoa bean fermentations	+ (eps-related genes)	Illeghems et al. (2015)
263,139 and 296	Fruit processing byproducts	Performance compatible with probiotic properties and technological features that enable the development of probiotic foods with distinct characteristics	+	de Albuquerque et al. (2018)
ATCC 23271	Human intestine	A potential probiotic candidate, particularly to complement candidiasis treatment	-	Carmo et al. (2016)
BGHI14	Newborn feces of a breast-fed infant	Reduction of adhesion of <i>E. coli</i> and improvement of microbiota in piglets in a probiotic formula	-	Veljovic et al. (2017)
CECT 5716	Human breast milk	Immunomodulatory, anti-inflammatory, and anti-infectious properties. It produces organic acids, glutathione, riboflavin, and folates and moderately stimulates the maturation of mouse dendritic cells	-	Cárdenas et al. (2015); Gil-Campos et al. (2012); Maldonado et al. (2012); Olivares et al. (2007, 2006); Pérez-Cano et al. (2010)
CH58	Brazilian food products	Antagonistic activity towards the pathogens Listeria monocytogenes and Staphylococcus aureus	-	Ramos et al. (2013)

CRL574, EFL2, EFL3	Child feces (CRL574) and cheese (EFL2 and EFL3)	CLA producing strains (conjugated linoleic acid)	-	Terán et al. (2015)
CRL1446	Argentinean goat milk cheese	Enhancement of the oxidative status by increasing the bioavailability of ferulic acid, providing protection against oxidative stress-related disorders. CLA producing strain. Potential food supplement	-	Russo et al. (2016); Terán et al. (2015); Abeijón Mukdsi et al. (2013, 2012)
GMNL - 296	Human intestines	Production of a composition to improve the infection symptoms of <i>Clostridium difficile</i>	-	Chen and Tsai (2016)
HM3	Human milk	Inhibitory effects against cancer cells after 72 h of incubation. Selectivity in killing cancer cells when compared to the normal liver cell line. It presented cholesterol- reducing ability and antioxidant activity	-	Shokryazdan et al. (2017)
HY01	Traditional fermented yak yoghurt	Enhancement of intestinal peristalsis and ability to prevent mice from constipation	-	Chen et al. (2018a)
IM-12	Human fecal microbiota	Anti-inflammatory effects in mice with carrageenan-induced paw oedema or TNBS- induced colitis	-	Lim et al. (2017)
JDFM216	Korean infant feces	Ability to enhance the longevity and immune response of <i>C. elegans</i> and makes it resistant to <i>S. aureus</i> and <i>E. coli</i>	-	Park et al. (2018); Jang et al. (2017)
L-Suo	Yak yoghurt in China	Prevention from activated-carbon-induced constipation in mice	-	Suo et al. (2014)
L23	Human vagina	Inhibition of <i>G. vaginalis</i> . Potential biotherapeutic agent for the treatment of this	-	Daniele et al. (2014)

		urogenital infection				
L930BB	Human bioptic samples of colonic and ileal mucosa	Immunomodulatory effects and enhancement of epithelial barrier integrity when combined with <i>B. animalis</i> subsp. <i>animalis</i> IM386	-	Paveljšek et al. (2018); Čitar et al. (2015)		
TCUESC01	Fermentation of fine cocoa	Reduction of the thickness of <i>S. aureus</i> biofilm	-	Melo et al. (2016)		
72, 75, 1-1	Coastal serum	Probiotic potential	-	Cueto-Vigil et al. (2010)		
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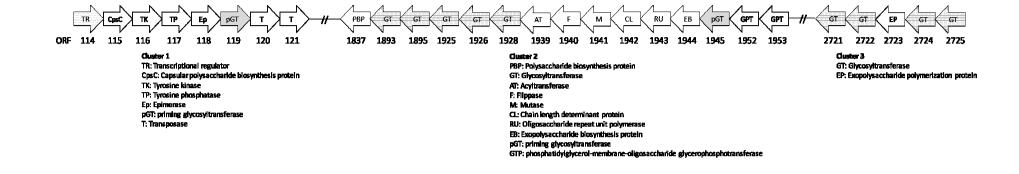
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L23	Human vagina	Inhibition of <i>G. vaginalis</i> . Potential biotherapeutic agent for the treatment of this urogenital infection	-	Daniele et al. (2014)
L930BB	Human bioptic samples of colonic and ileal mucosa	Immunomodulatory effects and enhancement of epithelial barrier integrity when combined with	-	Paveljšek et al. (2018); Čitar et al. (2015)

		B. animalis subsp. animalis IM386		
TCUESC01	Fermentation of fine cocoa	Reduction of the thickness of <i>S. aureus</i> biofilm	-	Melo et al. (2016)
72, 75, 1-1	Coastal serum	Probiotic potential	-	Cueto-Vigil et al. (2010)

<i>L. fermentum</i> strain	EPS repeating unit	Reference
Lf2	→3)-β-D-Glcp-(1→3)-β-D-Glcp-(1→ 2 ↑ 1 β-D-Glcp	Vitlic et al. (2019)
MTC 25067	$ \begin{array}{c} \rightarrow 3 \right) - \beta - D - Glcp - (1 \rightarrow 3) - \alpha - D - Glcp - (1 \rightarrow 2) \\ & \uparrow \\ 1 \\ \alpha - D - Galp \\ & 6 \\ & \uparrow \\ 1 \\ \alpha - D - Glcp \end{array} $	Gerwig et al. (2013)
S1 (CPS)	→3- $\alpha$ -D-Galp-(1→2)- $\beta$ -D-Glcp-(1→2)- $\alpha$ -L-Rhap-(1→4)- $\beta$ -L-Rhap-(1→3)- $\beta$ -D-Galp-(1→3)- $\alpha$ -D-Glcp-(1→ 3 $\downarrow$ 1 $\alpha$ -D-Manp	Wang et al. (2019)

# Table 1. Repeating unit structures of EPS (or CPS) from different L. fermentum strains.

, - σ3/β-D-Ga. i a-D-Manp



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# Highlights

- Lactobacillus fermentum is a widely studied species regarding its functional properties. \_
- There is increasing evidence that suggests an active role of exopolysaccharides (EPS) in the functional properties of L. fermentum.
- More studies are needed in order to understand the participation of these molecules in the health benefits exerted by EPS-producing lactic acid bacteria.
- Knowledge about the responsible factors for the probiotic properties of lactic acid bacteria will bring new guidelines to study and select new potential probiotic strains.

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