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3 **Au Nanoparticles - Mesoporous TiO₂ Thin Films Composites as SERS Sensors: A**
4 **Systematic Performance Analysis**
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ABSTRACT

The combination of plasmonic nanoparticles and mesoporous materials is of much interest in applications such as sensing or catalysis. The production of such hybrid materials can be done in various ways, leading to different architectures. We present a comparative study of the SERS performance of different nanocomposite architectures comprising mesoporous TiO₂ thin films and Au nanoparticles (NPs). The selection of TiO₂ as mesoporous support material was based on its high chemical and mechanical stability. Au NPs of different sizes and shapes were placed at different locations of the composite and used as a plasmonic material compatible with the synthesis conditions of the mesoporous films, displaying a high chemical stability. Using *p*-nitrothiophenol as a molecular probe, we evaluated the performance toward surface-enhanced Raman scattering (SERS) sensing, on the basis of minimum acquisition time, spot-to-spot reproducibility and limit of detection. The obtained results indicate that each platform features different sensing capabilities. While systems comprising Au NPs within the mesopores allow working with low acquisition times and present high signal uniformity, only a detection limit of μM was achieved. On the other hand, those systems made of branched Au NPs covered with mesoporous films require low acquisition times and can achieve detection limits as low as 10 pM, but signal uniformity is compromised. We propose that careful comparison of different SERS platforms based on Au NPs and mesoporous thin films will facilitate selecting an appropriate configuration for any desired application.

INTRODUCTION

Surface-enhanced Raman scattering (SERS)¹⁻² spectroscopy has become a technique of choice for potential application in trace-molecule detection. SERS exploits the amplification of the Raman signals of molecules located at, or very close to, the surface of metallic nanostructures supporting localized surface plasmon resonances (LSPRs).³ As a spectroscopic technique, SERS provides vibrational information of the molecules under study, allowing specific detection that can be used to determine the presence of a given trace-level analyte,³ even reaching the single molecule detection limit.⁴⁻⁶ Consequently, SERS has been employed in the detection of molecules and ions with application in analytical chemistry,^{3, 7} food science,⁸ environmental sciences⁹ and biomedicine,¹⁰⁻¹¹ just to mention a few. This technique can also be used to obtain information about structural and molecular conformations, to monitor changes as a function of time and to understand molecule-plasmonic surface interaction. Hence, SERS can also be used to understand biomedical processes,¹²⁻¹⁶ to perform *in operando* studies¹⁷ and for surface characterization.¹⁸

The enhancement of Raman scattering by plasmonic effects is largely determined by the properties of the amplifying platform. The main parameters behind this effect are related to the composition, size and morphology of the plasmonic nanostructures and their relative distribution within the support,¹⁹⁻²¹ which determines the presence of so-called *hot spots*, i.e. regions in which the electromagnetic field is highly concentrated.²² As a consequence, great effort has been devoted to the development of SERS substrates for specific applications.²³⁻²⁶ Although much of the research has been so far focused on obtaining the highest possible signals, application to real analytical problems requires a balance of numerous factors, including ease and cost of preparation, ease of use, spot-to-spot and sample-to-sample reproducibility, among other critical issues.²⁰ The ideal composite for SERS should be easy to prepare and handle, robust, and chemically stable; and should also provide the highest possible signal, though sensitivity requirements may be very different for each specific application.^{23, 27}

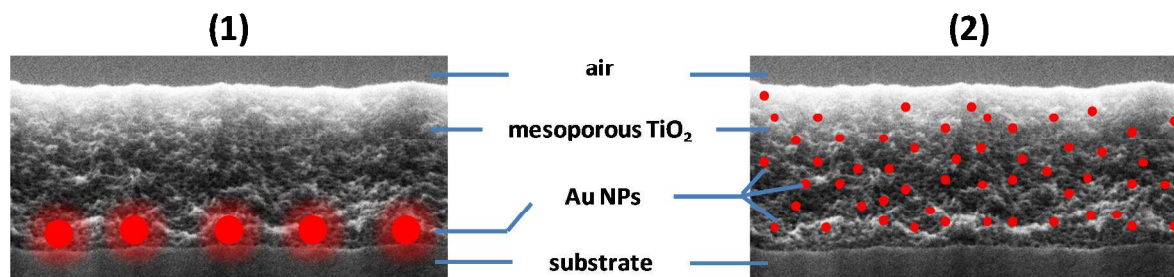
The original substrates for SERS were electrochemically roughened metal electrodes,¹ but shortly after metallic nanoparticles (NPs) were identified as an excellent alternative, being nowadays the most studied class of substrates. The simplest SERS experiments consist of mixing an analyte solution with a suspension of metal NPs (mainly Au and Ag, due to their optical properties and chemical stability) and recording the spectra from the solution. The main drawback for this approach is that the uncontrolled aggregation of the NPs may hinder the achievement of a good

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3 reproducibility. Moreover, long term stability issues may appear and not all types of analytes can
4 be mixed with NP suspensions, due to solubility or compatibility issues.²⁴⁻²⁵

6 For this reason, a great variety of composite materials in which NPs are immobilized on a surface
7 have been proposed to overcome these difficulties. Two main approaches have been used to
8 produce composite SERS substrates: *top-down* methods such as photolithography and
9 nanolithography and *bottom-up* methods, based on the preparation of NPs by colloidal chemistry
10 methods.²⁵ Lithography techniques allow a fine control over the geometric parameters of the
11 nanostructures, as well as high reproducibility from particle to particle and sample to sample.
12 However, the required setups still have high cost and no mass production is available for the most
13 reproducible structures prepared with these techniques.²⁴

19 Bottom-up approaches, on the other hand, represent a simpler and cost-effective alternative. In
20 this approach, NPs are synthesized by established colloidal methods and then chemically or
21 electrostatically adsorbed on the substrate, typically using bifunctional molecules to obtain
22 covalent bonds or charged polymers to allow electrostatic attachment in a *layer-by-layer*
23 approach.²⁵ Another possibility in the same direction is the direct production of NPs inside or on
24 the top of the chosen support. Usual supports include glass, metal oxides (such as alumina or
25 quartz), polymers (such as polydimethylsiloxane, poly (vinyl alcohol) or polyamide), hydrogels,
26 metals, silicon wafers, graphene and other 2D materials, and paper.²³⁻²⁶

33 Among all the tested SERS substrates, those based on mesoporous thin films are particularly
34 interesting, since the oxide layer not only provides a support to the NPs but also can be an active
35 part of the sensor.²⁸⁻²⁹ The latter feature derives from the fact that mesoporous thin films present
36 a highly ordered array of monodisperse mesopores (diameter of 2 - 10 nm), and a high specific
37 surface area. In addition, their chemical composition can be readily varied from pure to mixed
38 oxides and hybrid organic-inorganic materials.³⁰⁻³² In the past, mesoporous thin films and metal
39 NPs had been successfully integrated for SERS applications using different architectures that can
40 be classified in two families, presented in Scheme 1: (1) those containing NPs between the
41 substrate and the mesoporous thin film, and (2) those in which the NPs are located within the
42 pores or at the walls of the oxide. It should however be mentioned that, a third architecture type
43 has been recently introduced: highly ordered Au NPs deposited on top of mesoporous SiO₂ thin
44 films.³³



Scheme 1 Composite architectures involving mesoporous thin films and metal NPs, for SERS applications: (1) NPs placed between the substrate and the mesoporous thin film; (2) NPs located in the pores or the walls of the oxide.

For architecture (1), Au NPs and TiO₂ thin films have been used.^{12, 34-35} For architecture (2), combinations of Ag NPs and different mesoporous films (TiO₂, SiO₂ and ZrO₂) have been reported.³⁶⁻³⁷ The main features regarding SERS activity and applications of each of these architectures have been presented, though separately and using different measurement conditions. For example, it has been demonstrated that in architecture (1) the mesoporous material can be used as a molecular sieve^{12, 34} or can provide specificity by modification with a particular chemical function.³⁵ For architecture (2), on the other hand, it has been demonstrated that the sensors present high signal uniformity, and enhancement factors in the 10³-10⁵ range were proposed.³⁶⁻³⁷ However, the SERS community requires standard comparison of SERS substrates, especially when commercial applications are envisioned. In particular, it is highly relevant to have at hand clear information to decide which substrate is most appropriate, depending on the problem to be solved.³⁸

In this work, we present a comparative study of the SERS performance of composite materials based on the combination of Au NPs and mesoporous TiO₂ thin films, presenting two different general architectures. TiO₂ was selected as the mesoporous support material to take advantage of its high chemical and mechanical stability, as well as the open porosity leading to high accessibility of target molecules.^{30, 39} Spherical and anisotropic Au NPs of different sizes were used as the plasmonic material due to their good compatibility with the mesoporous synthesis conditions and, especially, for their high chemical stability. The Au NPs were either covered by a mesoporous TiO₂ film or synthesized inside the pores of the oxide (Scheme 1). Previously developed architectures were used as a basis to prepare the sensors,^{34-35, 40-43} but a wider variety of synthesis conditions were evaluated. Three parameters were chosen to evaluate the sensors' performance, in order to

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3 allow a systematic comparison between the different proposed platforms: minimum acquisition
4 time, spot-to-spot reproducibility and limit of detection (LOD), in all cases using the same standard
5 probe molecule. As some of the proposed applications for this SERS based sensors may require
6 either reproducibility or enhancement to dominate,²⁰ we propose that the results presented in
7 this work will help understand and establish an objective criterion to select one substrate over
8 another, depending on the envisioned application.³⁸
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14 15 **EXPERIMENTAL SECTION**

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18 **Materials.** Tetrachloroauric(III) acid trihydrate ($\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$), sodium borohydride (NaBH_4), (3-
19 aminopropyl)trimethoxysilane (APTES), Pluronic F127 ($\text{HO}(\text{CH}_2\text{CH}_2\text{O})_{106}(\text{CH}_2\text{CH}(\text{CH}_3)-$
20 $\text{O})_{70}(\text{CH}_2\text{CH}_2\text{O})_{106}\text{OH}$), trisodium citrate dihydrate, cetyltrimethylammonium bromide (CTAB) and
21 ascorbic acid (AA) were purchased from Sigma-Aldrich. Titanium tetrachloride (TiCl_4) was supplied
22 by Merck, hydrogen peroxide 28% (H_2O_2) by Biopack, sulfuric acid 98% (H_2SO_4) by Cicarelli, and
23 para-nitrothiophenol (pNTP) (80%) by Acros-Organics. Phosphate buffer saline (PBS) 0.5 M pH 7.4
24 was prepared using Na_2HPO_4 (Biopack) and NaH_2PO_4 (Merck). NaOH and HCl (both Merck)
25 solutions were used to adjust pH when required. All chemicals were used as received. Pure grade
26 ethanol and Milli-Q water were used as solvents.
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33 **Gold nanoparticles synthesis and immobilization.** Citrate-stabilized gold nanospheres of different
34 diameters (15, 35 and 66 nm) were prepared. Nanoparticles of 35 and 66 nm diameter were
35 synthesized according to a previously reported procedure.⁴⁴ Briefly, 150 mL of 2.2 mM trisodium
36 citrate was refluxed at 96 °C under vigorous stirring. When the temperature was stabilized, 1 mL of
37 25 mM HAuCl_4 solution was injected into the reaction mixture. After 10 min, the reaction was
38 cooled down to 90 °C. Subsequently, two additions of 1 mL of a 25 mM HAuCl_4 aqueous solution
39 were made, separated by 30 minutes. After another 30 min, 55 mL of the obtained solution was
40 extracted and 53 mL of water added with 2.2 mL of 60 mM sodium citrate. The final mixture was
41 used as a seed solution. The whole process with just two injections of the HAuCl_4 aqueous solution
42 was repeated again three and seven times to yield 35 nm and 66 nm Au NPs, respectively. 15 nm
43 diameter NPs were obtained using the Turkevich method.⁴⁵ Summarily, 250 mL of 0.5 mM HAuCl_4
44 aqueous solution was heated until boiling under vigorous stirring and then 12.5 mL of 38.8 mM
45 trisodium citrate solution was rapidly added. After 20 min of stirring, the reaction was allowed to
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3 Glass slides were immersed in freshly prepared piranha solution (3:1 mixture of H₂SO₄ and 30%
4 H₂O₂) for 1 hour and then copiously rinsed with pure water. The surface was functionalized by
5 dipping the dry glass slides in a 0.01 M solution of APTES (in pure grade ethanol) for 3 h and then
6 rinsed with ethanol.
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9 Immobilization of Au nanospheres was achieved by immersion of the functionalized glass slides
10 into the gold NPs suspensions (as obtained, without purification) for 20, 40 minutes or overnight
11 (in the latter case, in the fridge). As a result, three different NP densities (*d*) were obtained
12 according to the immobilization time used (*d*₁ for 20 minutes, *d*₂ for 40 minutes and *d*₃ for
13 overnight deposition).
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18 **Mesoporous titania thin film synthesis.** Thin films were produced by either spin-coating or dip-
19 coating, taking advantage of the evaporation-induced self-assembly (EISA) strategy using an
20 inorganic precursor (TiCl₄) and a template (Pluronic F127) in ethanol.³⁰ The initial solution was
21 composed of TiCl₄/EtOH/F127/H₂O mixture, with a 1:40:0.005:10 molar ratios. This solution was
22 used freshly after preparation.
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26 The films were prepared either over clean glass slides or over the above described Au NP-modified
27 glass slides. In the former case, the deposition was performed by dip coating using a 1 mm s⁻¹
28 speed. The film was removed from one side of the glass slide using an ethanol wetted tissue
29 immediately after deposition. Au NP-modified glass slides, on the other hand, were covered by
30 spin-coating 125 μL of the precursor solution at a spinning speed of 8000 rpm.
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35 Once the films were deposited on the substrates, they were placed in a 50% relative humidity (RH)
36 chamber (obtained with a Ca(NO₃)₂ saturated solution) for 24 h and subjected to a stabilizing
37 thermal treatment procedure comprising two successive 24 h heat treatments at 60 and 130 °C,
38 and a final step at 200 °C for 2 h (from 130 °C to 200 °C the temperature was increased using a 1 °C
39 min⁻¹ rate). The template was finally eliminated by immersing the films in ethanol for 3 days.
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42 Titania films are labeled TF, where F represents the surfactant template F127 used to texture the
43 mesoporous films. Samples in which pre-deposited Au NP were covered by TF films were labeled
44 *AuXdY/TF*, where **X** refers to the spherical NPs diameter and **Y** to the NPs density. For example,
45 Au66d2/TF represents a glass slide with 66 nm diameter Au spheres immobilized for 40 minutes
46 and subsequently covered with a TF film.
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52 **Nanoparticle Seeded Growth.** Anisotropic growth of the Au NPs below the mesoporous films was
53 carried out as previously reported.⁴¹ Briefly, the former composite *AuXdY/TF* films were immersed
54 in a solution containing HAuCl₄, CTAB, and AA with a molar ratio of 1:60:16 ([Au (III)] = 6.25x10⁻⁵
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M) for two hours. This procedure, called growth step, was repeated 3 times. After each growth step, the films were rinsed with water and dried in air. The obtained NPs present a shape that resembles a nanostar (NS) and thus were labeled as $AuNSXdY/TF$, where X refers to the spherical NPs original diameter and Y to the NPs density.

Gold nanoparticles synthesis inside TiO_2 . Synthesis of Au NPs inside mesoporous titania thin film was achieved following a reported method.⁴³ The film was immersed for 1 minute in a 1 mM solution of $HAuCl_4 \cdot 3H_2O$ at pH 4. In these conditions, the adsorption of $AuCl_3(OH)^-$ can occur on the positively charged surface of TiO_2 . After this time, the film was washed, air dried and dipped for 1 minute in a 5 mM $NaBH_4$ freshly prepared solution, for the reduction of adsorbed Au(III). Finally, the film was rinsed with water. This procedure, called reduction step (RS), was repeated as many times as desired to increase the filling fraction of the mesoporous framework. The samples were labeled $AuZRS@TF$, where Z refers to the number of RSs that the film was submitted to. For example, $Au5RS@TF$ refers to a TF film subjected to 5 RSs.

Characterization.

Optical characterization. UV-vis-NIR spectra were recorded using a HP Agilent 8453 spectrophotometer or an Ocean Optics spectrophotometer operating with a DH-2000-BAL deuterium and halogen lamps, and a QEPro CCD spectrometer.

Electron Microscopy. Transmission electron microscopy (TEM) analysis was performed by using a Philips CM 200 microscope operating at an acceleration voltage of 180 kV. Samples for TEM were obtained by scratching off the films from the substrate and depositing them on FORMVAR/carbon-coated copper grids. Scanning electron microscopy (SEM) was carried out using a Carl-Zeiss SUPRA 40 microscope. Films for SEM were cut and positioned perpendicular to the holder, so as to observe the NPs placed between the film and the substrate. Energy-dispersive spectroscopy (EDS) was performed in a Philips SEM microscope equipped with an EDAX detector. To carry out this analysis, samples were scratched off from the substrate and deposited on adhesive carbon tape.

X-ray reflectometry (XRR). XRR studies allow us to determine film thickness and porosity, as well as Au loading fraction.⁴⁶ Measurements were performed on a Panalytical Empyrean X-Ray diffractometer with an incident beam of $Cu K\alpha_x$ radiation at 1.54 \AA , and an incident angle of 1° . A divergence slit of 0.38 mm and a mask of 10 mm were used for the measurements. Film thickness was determined from the Kiessig fringes in the reflectogram. Film porosity was estimated by measuring the shift in the critical angle when the relative humidity (RH) was changed from <5% (i.e. the pores are full of air) to >90% (i.e. the pores are filled with water). Au loading fractions

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3 were calculated measuring the shift in the critical angle at a low humidity (<5%) before and after
4 Au NPs synthesis inside the mesopores. For these purposes, the measurements were made with
5 the films placed inside a controlled humidity chamber. More details about porosity and filling
6 fractions calculations are presented in the Supporting Information.
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9 **SERS experiments and analysis**

10 **Incubation protocol.** Film fragments of about 0.5 x 0.5 cm² were immersed overnight in 1 mL of a
11 pNTP solution (solvent: PBS pH 7.4) in the fridge, then rinsed with water and air-dried. For
12 comparative studies, the concentration of pNTP was 4x10⁻⁴ M. To determine the LOD, solutions
13 were prepared from successive dilutions of the 4x10⁻⁴ M solution. In all cases, pNTP solutions were
14 freshly prepared prior to use.
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17 **Instrumental.** SERS experiments were recorded with a Horiba LabRAM HR Raman system (Horiba
18 Jobin Yvon) equipped with a Peltier-cooled CCD detector and band-pass filter optics. A 600
19 grooves mm⁻¹ grating was used. A HeNe laser operating at 632.8 nm was chosen as the excitation
20 source, with a power output of 12 mW. All measurements were performed with a confocal
21 microscope in backscattering geometry using a 100x objective with a numerical aperture (NA)
22 value of 0.9. Measurements were carried out in confocality mode with a 100 μm pinhole. Probe
23 degradation at high laser power intensities is common in SERS experiments, thus a 0.1% filter was
24 used to maintain the power at the sample below 5 μW; the filter was selected to keep the probe
25 unaltered during the measurements (see an example of the laser effect over the probe at different
26 powers, in Figure S1).
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29 **Analysis.** Spectra acquisitions were obtained from 8 to 40 points in each sample. Mappings were
30 carried out in 40 x 40 μm² areas (9 points), or 20 x 20 μm² areas (4 points). Accumulation time at
31 each point was varied from 5 s to 120 s (1 scan). For data processing, the 1340 cm⁻¹ band,
32 corresponding to the antisymmetric stretching of the nitro group of pNTP, was fitted with a
33 Lorentzian and its intensity was determined for analysis. For comparative studies each sample was
34 characterized by: (1) the minimum acquisition time required to obtain a proper signal-to-noise
35 ratio ($S > 3N$) in at least 90% of the measured points; (2) intensity spatial distribution; and (3) LOD.
36 For LOD experiments, 10 s and 60 s acquisition times were used. If signal was observed in less than
37 90% of the points, the concentration was assumed to be less than the detection limit.⁴⁷ All the
38 SERS spectra presented here were processed with a smooth function (Savitzky-Golay).
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RESULTS AND DISCUSSION

The comparative study involved the analysis of the SERS performance of different nanoparticle-mesoporous composite designs, comprising the two main architectures depicted in Scheme 1: (1) Au NPs placed between the substrate and a titania mesoporous thin film (named *AuXdY/TF* for spherical Au NPs, and *AuNSXdY/TF* for Au nanostars); (2) Au NPs located in the porous structure of a titania mesoporous thin film (named: *AuZRS@TF*). Within each architecture, a range of different substrates were designed, which will be discussed in more detail below. Tables 1 and 2 summarize all synthesized samples for architectures (1) and (2), respectively.

Table 1 Description of samples built by architecture (1): Au NPs immobilized between the substrate and the mesoporous film. Anisotropic growth was carried out in these samples.

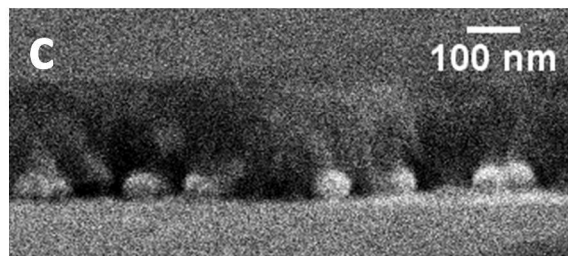
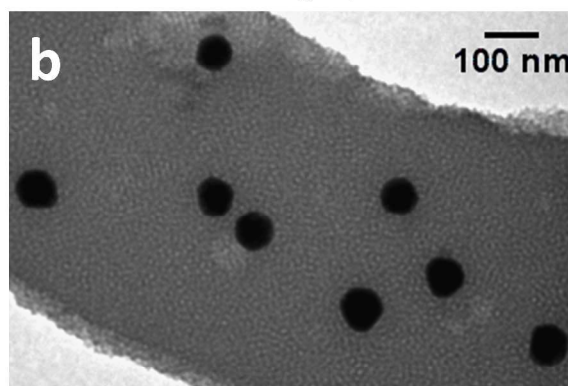
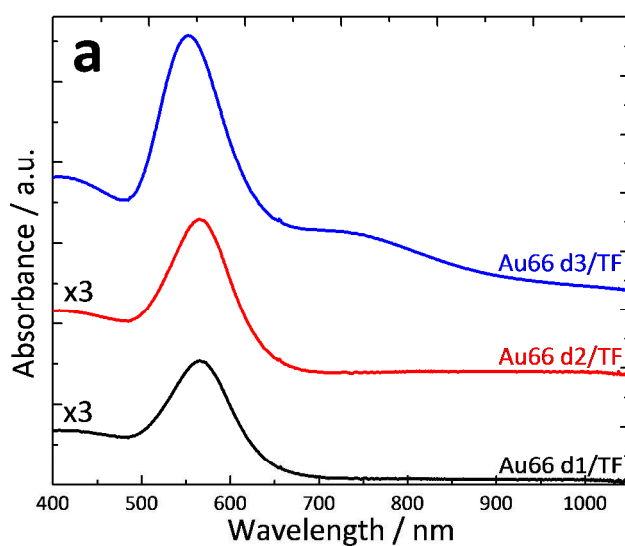
NP diameter/nm	immobilization time – NP density	sample name	sample name after anisotropic growth
15	20 min – d1	Au15d1/TF	AuNS15d1/TF
	40 min – d2	Au15d2/TF	AuNS15d2/TF
	overnight – d3	Au15d3/TF	AuNS15d3/TF
35	20 min – d1	Au35d1/TF	AuNS35d1/TF
	40 min – d2	Au35d2/TF	AuNS35d2/TF
	overnight – d3	Au35d3/TF	AuNS35d3/TF
66	20 min – d1	Au66d1/TF	AuNS66d1/TF
	40 min – d2	Au66d2/TF	AuNS66d2/TF
	overnight – d3	Au66d3/TF	AuNS66d3/TF

Table 2 Description of samples built by architecture (2): Au NPs synthesized inside the pores of a mesoporous film.

number of reduction steps (RS)	sample name
5	Au5RS@TF
10	Au10RS@TF
20	Au20RS@TF

AuX_dY/TF – system characterization. NPs of different sizes were synthesized, immobilized during different times on APTES-functionalized glass slides and coated with TiO₂ mesoporous thin films as described in the experimental section. The TiO₂ thin film coating on these substrates had a thickness of 140 nm and a porosity of 45 %, as determined by XRR measurements (see SI for details). These TiO₂ films present a pore diameter of ~8 nm, as determined by ellipsometric porosimetry.⁴⁸

Figure 1a shows the UV-visible absorption spectra of the different obtained composites, for the samples containing 66 nm Au NPs (Au66_dY/TF).



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3 **Figure 1 (a)** UV-visible spectra of *Au66dX/TF* samples with different NP densities, as indicated in
4 the labels **(b)** TEM image of *Au66d2/TF*. **(c)** SEM image of *Au66d3/TF* (side-view).
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8 From UV-visible spectra, the first observation is that the intensity of the plasmonic band depends
9 on the incubation time used to attach the Au NPs, determining a density of NPs that can also be
10 quantified using EDS (see Table S2, SI). For low NP densities (d1 and d2), a single narrow LSPR band
11 can be seen, which corresponds to isolated 66 nm diameter NPs covered with mesoporous titania.
12 For longer immobilization times, i.e. higher densities (d3), an increase in the intensity of this band
13 is observed, in agreement with a larger amount of NPs, but also the appearance of a second band
14 above 700 nm, which is attributed to plasmon coupling between NPs localized close to each other
15 within small aggregates. TEM images (Figures 1b, S2b and S3b) confirm that the TF film is porous,
16 with a locally ordered structure and that the Au NPs size and shape remained unaltered upon film
17 deposition and thermal treatment, as previously reported.⁴¹ For low densities, NPs seem to be
18 uniformly distributed throughout the substrate. However, when the NP density is increased,
19 aggregation occurs, as can be seen from the side-view SEM micrographs (Figure 1c). Furthermore,
20 from these images it can be concluded that the mesoporous thin film formation was not affected
21 by the roughness of the substrate imposed by the immobilized NPs.⁴⁹ Similar results were
22 obtained for *Au15dY/TF* and *Au35dY/TF* systems (Figures S2 and S3, respectively).
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33 In general, NPs result uniformly distributed on functionalized glass surfaces when short
34 immobilization times are used, but partial aggregation takes place when immobilization time is
35 increased. It is important to highlight that the morphology of the NPs is not affected by film
36 deposition, and the mesoporous film properties are not influenced by the presence of NPs on the
37 substrate.
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41 **AuNSXdY/TF – system characterization.** Anisotropic growth of the NPs deposited below the
42 mesoporous film was achieved, as previously reported for similar systems, where the porosity of
43 the TiO₂ film acts as a template to guide seeded growth.³⁴ Throughout the synthesis, a significant
44 change in the film's color (pink to blue/grey, Figure S4) was identified, which indicated an
45 alteration in the NP morphology. Figure 2a shows the absorbance spectra of the samples with low
46 NP density, before (*AuXd2/TF*) and after anisotropic growth (*AuNSXd2/TF*). In all cases, the spectra
47 after growth display two bands: a lower wavelength band attributed to a dipolar LSPR localized in
48 the NP core and a second band at higher wavelength, attributed to the dipolar plasmon modes
49 localized at the grown tips.⁴¹ TEM images (Figures 2b-d) indeed show that Au nucleation and
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growth occurred specifically on the NPs located below the film (which act as seeds) and not at the film walls. Because the growth can only occur through the pores in contact with the seeds, NPs with star/flower-like morphology were obtained. Bigger NPs cores resulted in more extensively branched NPs, *i.e.* with a larger number of tips, because the seeds were in contact with more pores. Moreover, these results indicate that, for all tested cases, the TF film is fully accessible for molecule diffusion from the external solution to the metal NP surface.

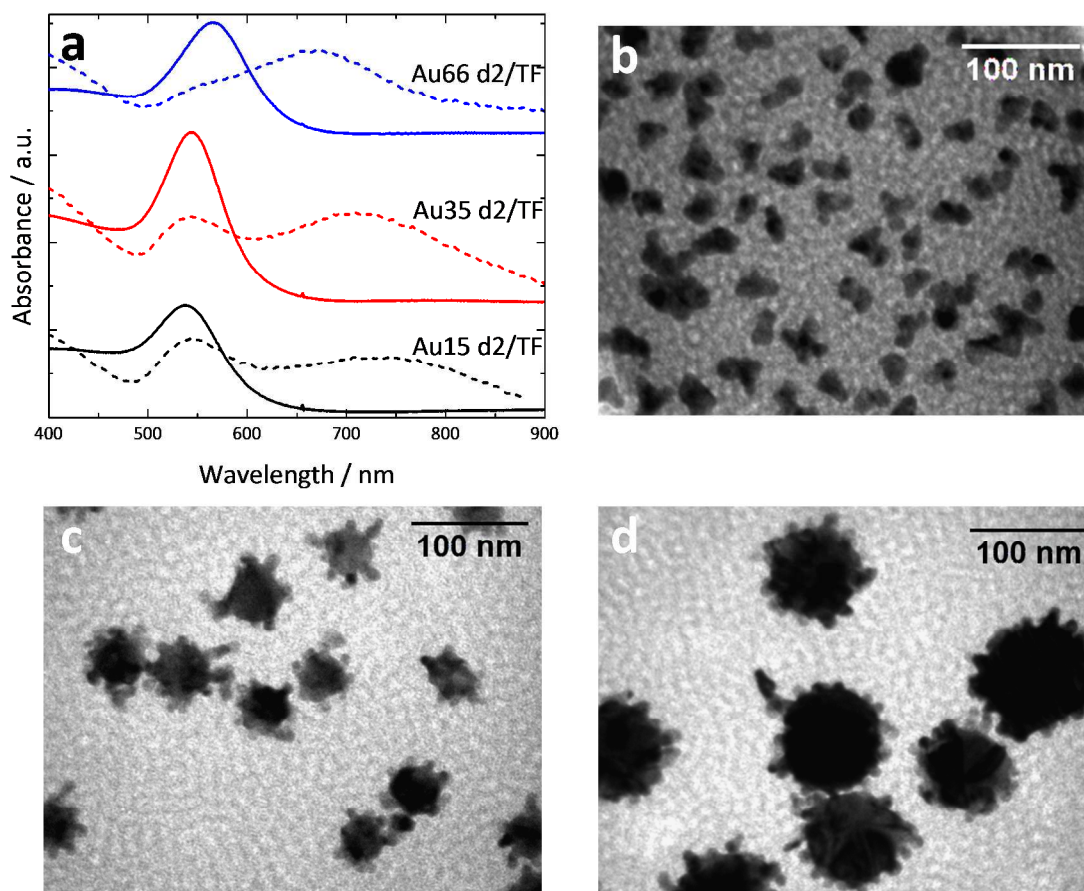


Figure 2 (a) UV-visible spectra of $AuX d_2/TF$ samples before (solid line) and after seeded anisotropic growth (dash line). TEM images of **(b)** AuNS15d2/TF, **(c)** AuNS35d2/TF, and **(d)** AuNS66d2/TF.

AuZRS@TF – system characterization. The films used for Au NPs synthesis had a thickness of 160 nm, a pore diameter around 8 nm according to ellipsometric porosimetry⁴⁸, and a porosity of 45 %, as determined by XRR. The formation of the NPs inside the mesoporous TiO_2 thin film was achieved by repeating the adsorption-reduction process as described above. The first step thus involves the adsorption of Au^{3+} species which are subsequently reduced to Au using $NaBH_4$, in a

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3 second step. Au NP formation proceeds through nucleation and growth. The mechanism has been
4 described in detail in previous works.⁴²⁻⁴³ Briefly, during the first synthetic steps fast nucleation
5 occurs, while further exposure to Au(III) precursor and reducing agent leads to concurrent
6 nucleation and growth processes. Visually, as the number of RS was increased, the characteristic
7 uncolored TF film changed into a pink/purple color, typical of spherical Au NPs in a high-index
8 dielectric environment. Moreover, the color intensity increased when the number of RS was
9 increased (Figure S6).

10
11 Figure 3a shows the UV-visible spectra of Au NPs-loaded TF after 5, 10 and 20 RS. As a result of
12 nucleation and growth of Au NPs, a well-defined plasmon band gradually develops. The plasmon
13 band maximum was found to red-shift from 540 nm to 556 nm and its intensity increased as the
14 number of RS was incremented. This can be attributed to an increase in both the number of NPs
15 and the NP size. From TEM micrographs (Figure 3b for Au20RS@TF, and Figure S5 for Au10RS@TF
16 and Au5RS@TF) it is clear that NPs, visualized as dark spots, are uniformly distributed inside the
17 mesoporous structure and through the film thickness (Figure S5c). Size analysis revealed that the
18 average NP diameter increased from (5.8 ± 0.7) nm to (9 ± 1) nm when the RS was raised from 5 to
19 20 (Figure S7). In fact, the particle size could be tuned by the number of RS but was limited by the
20 pore dimensions.⁴³ The filling process of the mesoporous film was quantitatively monitored by
21 XRR. An increment in the material's critical angle was observed when the number of RS was
22 increased (Figure 3c). This can be directly linked to an increase in material's electronic density,
23 given by the loading of Au NPs into the mesoporous film. The calculated filling fractions are
24 presented in Table S1: 4% of the porosity was occupied when 5 RS were performed and 19% after
25 20 RS.
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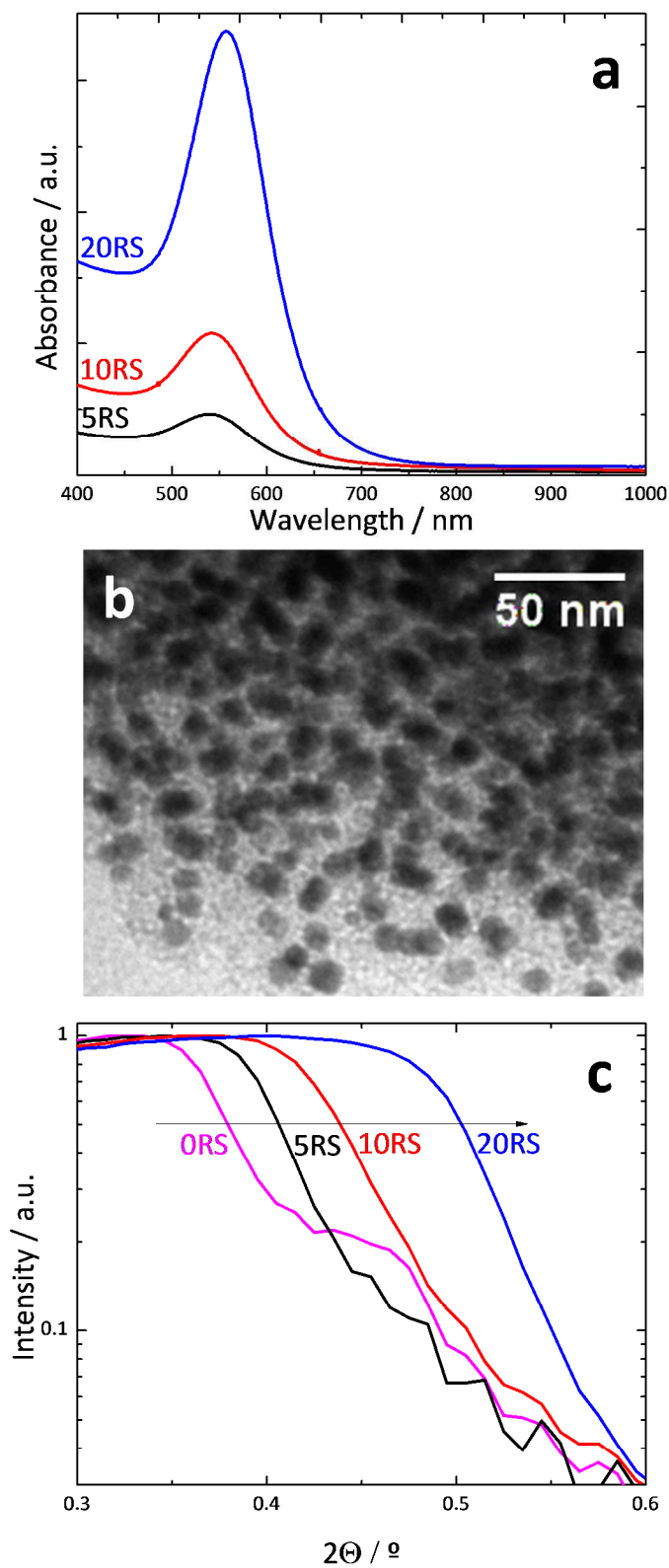


Figure 3 (a) UV-visible spectra of $AuZRS@TF$ samples ($Z = 5, 10$ and 20) (b) TEM image of $Au20RS@TF$. (c) X-Ray Reflectivity data measured at $RH < 5\%$ for $AuZRS@TF$ samples.

SERS performance. Comparative SERS studies were performed using pNTP as the probe analyte. This molecule was chosen because it presents a high Raman cross section, binds covalently to Au surfaces and does not possess electronic excited states (or molecular resonances) in the visible region. Upon adsorption on the various hybrid platforms, pNTP SERS spectra were recorded (Figure 4). In all cases, the SERS signals corresponding to the characteristic vibrational bands of pNTP are recognized: C=C stretching (1573 cm^{-1}), NO_2 symmetric stretching (1340 cm^{-1}), and CH bending modes (1083 and 1110 cm^{-1}).⁵⁰ No major spectral differences were appreciated between measurements from different architectures, thereby ruling out any variation among samples regarding analyte bonding or degradation.

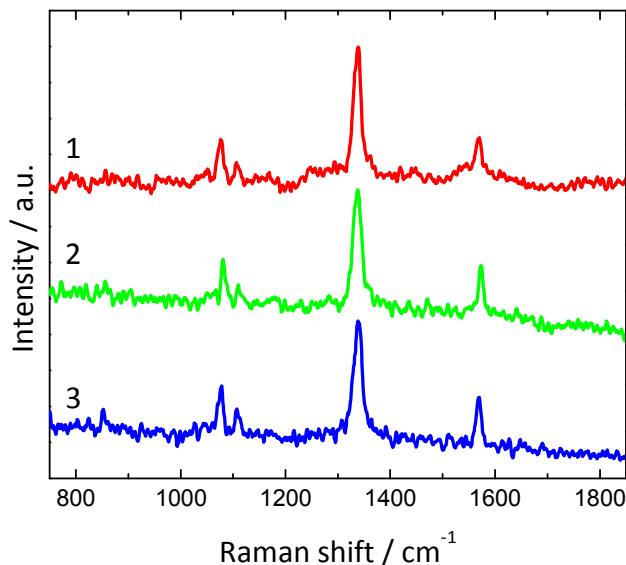


Figure 4 Normalized pNTP SERS spectra from different platforms: (1) Au66d3/TF, (2) AuNS66d2/TF, and (3) Au2ORS@TF.

SERS analysis was carried out using at least 5 replicas for each sample. For this purpose, samples were synthesized and evaluated throughout at least a year. No significant differences in optical or morphological properties neither in SERS performance were observed. The samples are easy to prepare and their synthesis and performance are highly reproducible. Importantly, the selected materials (Au and TiO_2) provide the samples with high stability in time, so they could be stocked and used when desired, a clear advantage over substrates prepared using easily oxidizable Ag.³⁶⁻³⁷

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3 The enhancement factor (EF) has been proposed as a useful parameter to compare SERS substrates,
4 particularly for measurements performed in solution. EF calculation requires the use of a
5 reference spectrum, obtained without the presence of the enhancing metallic nanostructure.^{3, 24}
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8 As the Raman signal of pNTP adsorbed onto a mesoporous TiO₂ thin film could not be detected (in
9 agreement with previous results for similar systems³⁶), solid pNTP was used as a reference. To
10 calculate the EF, Raman and SERS spectra were recorded for the analyte and the number of
11 molecules contributing to the signal (n) in each case was estimated (see SI for details). Since the
12 actual determination of n requires to know exactly the amount of free surface area provided by
13 the NPs and the density of the probe molecule adsorbed on the NPs surface,³⁷ an upper limit for n
14 was calculated, yielding a conservative estimate of the EF. As a result, the calculated EF values
15 were in the order of 1×10^5 for architecture (1) (Au66NSd2/TF) and 4×10^2 for architecture (2)
16 (Au20RS@TF). The latter value is two orders of magnitude below the calculated value for similar
17 systems prepared using Ag NPs.³⁷ However, this is only an approximation that underestimates the
18 SERS enhancing capabilities of the sensors. Therefore, other parameters were chosen to study the
19 potential of the different substrates and to evaluate their performance in a more comprehensive
20 comparative way.
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23 The first parameter proposed for the comparative analysis was the minimum acquisition time
24 required to obtain a suitable signal (S)-to-noise (N) ratio ($S > 3$ times N) in at least 90% of the
25 measured points, using one scan. The pNTP concentration for these experiments was 4×10^{-4} M, so
26 as to ensure that the probe molecule reached the whole metal NP surface, including nanometric
27 gaps between NPs, thereby enabling the study of all the available surface.⁵¹ The obtained results
28 are summarized in Figure 5.
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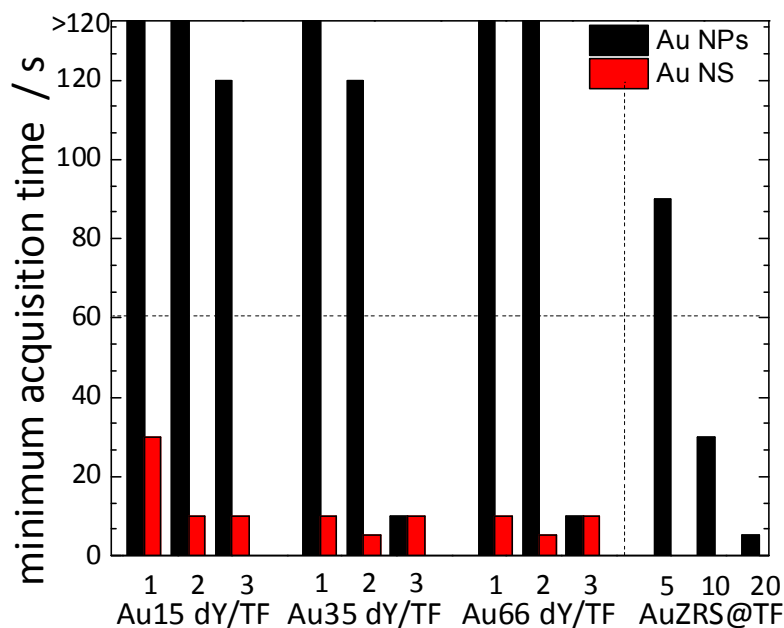


Figure 5 Minimum acquisition time required for $S > 3N$. Black bars represent samples prepared with spherical NPs ($AuXdY/TF$ and $AuZRS@TF$ samples) and red bars represent samples with Au NS ($AuNSXdY/TF$ samples).

SERS signal intensity was found to depend linearly on acquisition time (Figure S9, SI), and, although this is not an absolute parameter, a low minimum acquisition time is correlated with a greater SERS sensitivity. In particular, acquisition times below 60 seconds can be considered reasonable for analytical purposes. In this way, two groups can be identified from Figure 5: (a) those samples in which more than 60 s were needed for detection and thus are not useful for analytical applications, and (b) those samples with potential application as SERS sensors because less than 60 s were required for analysis (see dotted horizontal line in Figure 5). This differentiation enables determining the requirements to design a promising SERS sensor based on Au NPs and TiO_2 mesoporous films; the relationship between the minimum acquisition time parameter and the structural properties of the systems are discussed below.

For $AuXdY/TF$ samples, the minimum acquisition time required to see $S > 3N$ was found to decrease with an increasing NP density. This behavior can be explained considering that a larger metallic surface is available for analyte attachment and, more importantly, there is a greater amount of extrinsic hotspots given by the proximity of the NPs.¹⁹ When NPs are placed close to

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3 each other the electromagnetic field gets further enhanced due to hot spot formation. The highest
4 electromagnetic fields have been found to be generated when the gaps between the curved
5 metallic surfaces are decreased to 1 nm.⁵² As a result, at low NP densities (d_1 and d_2) more than
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7 120 seconds were needed to observe a signal due to the high degree of isolated NPs, whereas at
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9 high NP density (d_3) and corresponding higher hot spot density, 10 seconds were enough for a
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11 reliable detection. As a consequence, only the d_3 samples can be considered for further
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13 applications.

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15 In addition to NP density, NP size should also be considered. A notable difference was found
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17 between those platforms built with the smallest NPs (15 nm diameter) and those with the larger
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19 ones (35 and 66 nm diameters). As expected, high acquisition times were needed for 15 nm NPs
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21 since they yield a lower electromagnetic enhancement.^{53,54} Furthermore, the accessibility of the
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23 molecular probe to the surface of these smaller NPs is more hindered since a bigger proportion of
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25 the NPs surface is covered with the oxide matrix walls. Thus, this system does not seem useful for
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27 analytical applications, in agreement with previously reported results.³⁴ Finally, no significant
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29 differences were observed between those systems built with 35 and 66 nm NPs, when minimum
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31 acquisition time was used as the comparative parameter.

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33 For the case of $AuNSXdY/TF$ samples (anisotropic NPs), the minimum acquisition time was
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35 significantly lower than for equivalent samples $AuXdY/TF$ (spherical NPs), as expected from the
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37 presence of high electromagnetic fields at the sharp tips resulting from directional growth.^{34, 55-56}
38
39 Additionally, the LSPR red-shift due to branch formation displays a better match with the
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41 excitation wavelength. Since both of these facts result in higher SERS enhancements, the
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43 sensitivity of the platforms is largely improved and all the $AuNSXdY/TF$ samples can be considered
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45 as promising sensors. Moreover, $AuNSXd2/TF$ samples are more sensitive than $AuNSXd1/TF$
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47 samples, which is directly associated with the higher number of NPs with tips per unit area at d_2
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49 samples, i.e. a higher number of intrinsic hotspots. For $Au35d3/TF$ and $Au66d3/TF$ before and after
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51 growth, no difference in minimum acquisition time was observed, likely because the close
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53 proximity of Au NPs with tips does not lead to significantly better SERS performance.⁵²

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55 For $AuZRS@TF$ samples, the minimum acquisition time required to obtain $S > 3N$ decreases with
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57 increasing Au filling fraction. This observation is attributed to a higher electromagnetic
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59 enhancement provided by a reduction in average NP-NP distance, as well as the increase in Au
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content inside the oxide film and in NP diameter. Similar trends were observed previously for
Ag@TF systems with different degrees of Ag NPs filling.³⁶

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3 Lastly, it is of interest to compare the performance of isolated NPs deposited below the
4 mesoporous film (*AuXd2/TF*) with that of NPs prepared *in situ* within the film (*AuZRS@TF*). The
5 NPs used for *AuXd2/TF* samples are typically larger than those in *AuZRS@TF* samples, so a greater
6 sensitivity for the first case could be expected. However, the opposite behavior was observed,
7 meaning that this is not the only parameter to be considered. Since mesoporous thin films have a
8 high specific surface, the number of NPs synthesized in *AuZRS@TF* is much larger than those
9 adsorbed on a glass surface prior to film deposition. In fact, EDS measurements (Table S2) show
10 that the amount of Au in *AuXd2/TF* is 2- and 5-fold lower than in samples *Au10RS@TF* and
11 *Au20RS@TF*, respectively. Therefore, we can conclude that, for *AuZRS@TF* samples less
12 acquisition time is needed for detection because there is an increase in the available plasmonic
13 enhancing volume that gives rise to hot spots and preconcentration of the analyte. In fact, for
14 *Au20RS@TF* the minimum acquisition time parameter is comparable to that of high density
15 platforms (*Au35d3/TF* or *Au66d3/TF*).

16
17 Another critical parameter that must be taken into account when studying the performance of
18 SERS-active substrates is the spatial distribution of the signal. Mappings with a step size of 10 μm
19 were carried out, and the most intense band of pNTP (1340 cm^{-1}) was used for analysis. Figure 6
20 shows the intensity of this band plotted as a function of spatial coordinates for different samples.
21 Displayed in Figure 6a is a map obtained from sample *Au66d2/TF* (120 s acquisition time), where
22 poor signal or even no signal was recorded. Upon growing tips from Au NPs (*AuNS66d2/TF* sample
23 - Figure 6b) or increasing NP density (*Au66d3/TF* sample - Figure 6c), the signal intensity was found
24 to increase, but resulting in a broad inhomogeneity, meaning that the formation of hotspots
25 throughout the substrate was not uniform. On the other hand, the spatial distribution of the signal
26 obtained for samples *AuZRS@TF* was particularly interesting. Figure 6d shows a mapping for pNTP
27 incubated in *Au20RS@TF* (10 s acquisition time), whilst intensity graphs as a function of spot
28 number are presented in Figure S10. A uniform spatial distribution with a standard deviation
29 below 10% was consistently obtained in all the evaluated probe concentrations above the LOD. In
30 this case, the ordered mesoporous structure functioned as a pattern or matrix where NPs were
31 evenly synthesized and distributed, which is not easy to obtain through soft chemistry.²⁰ Similar
32 results were previously obtained with Ag NPs synthesized inside mesoporous thin films,³⁶ but even
33 when Ag NPs give rise to higher SERS enhancements, the use of Au ensures better stability over
34 time.

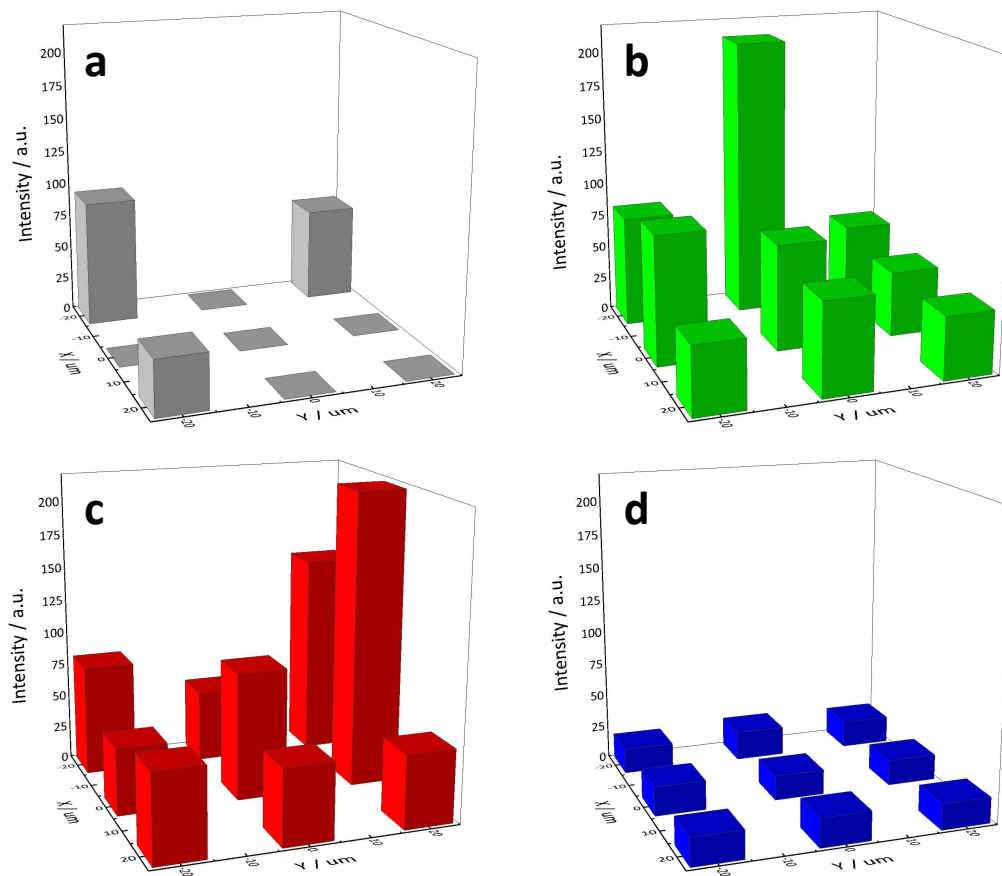


Figure 6 SERS maps of 1340cm^{-1} band intensity for different samples (pNTP 4×10^{-4} M): (a) Au66d2/TF (120 s acquisition time), (b) AuNS66d2/TF (10 s acquisition time), (c) Au66d3/TF (10 s acquisition time), and (d) Au20RS@TF (10 s acquisition time).

Finally, to complete the understanding of the performance of the SERS platforms, LODs were determined. For this purpose, consecutive dilutions of the pNTP solution were prepared. More than 10 points were evaluated and, in those cases where the signal was only observed in less than 90% of the points, the concentration was assumed to be lower than the detection limit. The obtained results are presented in Figure 7; additional data are presented in Table S4 and Figure S11. Since the future use of these platforms will depend on the specific experiment to be carried out, two different acquisition times were tested: 10 and 60 seconds. However, it is important to note that the presented LODs are the result of the average of different spots in each sample. As a consequence, for inhomogeneous samples the LODs do not present the expected linear relationship with acquisition time (shown in Figure S9).

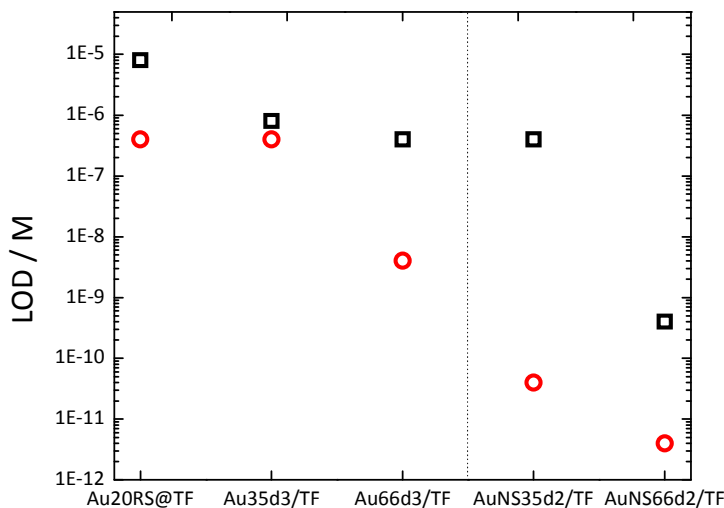


Figure 7 LOD for selected spherical Au NP and Au NS samples. Squares stand for 10 seconds detection time and circles for 60 seconds detection time.

Detection limits below μM concentration were obtained for the most promising sensors. It is worth mentioning that for samples presented in Figure 7, no significant difference was observed using minimum acquisition time as a performance parameter. However, there are important dissimilarities regarding the LOD. For the case of $\text{AuX}d3/\text{TF}$ samples, lower LOD were obtained for the larger NPs. As previously mentioned, it is known that the electromagnetic enhancement increases for larger NPs, which is indeed reflected in the obtained LOD. However, a direct comparison of the LOD obtained for $\text{AuX}d3/\text{TF}$ samples with the one obtained for Au20RS@TF sample is difficult, since the latter display smaller NPs but distributed in a different way.

On the other hand, even though a higher sensitivity was achieved by increasing the Au NPs density, the effect of anisotropic growth was more pronounced: the obtained LOD for samples after growth was below that for the samples with high NP density. For AuNS35d2/TF , the LOD of pNTP is in the order of 10 pM, and around 1 pM for AuNS66d2/TF (60 s detection time in both cases), which can be attributed to differences in the number of tips per NP. Therefore it can be concluded that the latter two substrates are optimal for SERS ultradetection.

SUMMARY AND CONCLUSIONS

Different SERS sensors based on mesoporous TiO₂ and Au NPs were prepared, on the basis of previously reported architectures. The density of Au NPs under mesoporous TiO₂ was varied for three different particle sizes, and the resulting composites were also compared before and after seeded growth of sharp tips from the Au NPs. As a comparison, mesoporous TiO₂ films filled with different amounts of Au NPs within the pores were also developed.

As a general result, it was found that by tuning the different synthetic conditions it is possible to obtain systems that provide a strong SERS signal for pNTP as a molecular probe. Moreover, the chemical characteristics of the components provided all developed platforms with high stability, both in terms of structure and SERS response over time, which is a key point in the development of viable sensors.

All platforms were compared in terms of the minimum acquisition time required to obtain a sufficient signal-to-noise ratio, uniformity of signals' spatial distribution and LOD. Each kind of developed platform displayed different sensing capabilities. Systems containing Au NPs within the pores allow working with low acquisition times, present extremely high signal uniformity, and can reach detection limits in the order of μM. Even though these sensors do not present high enhancements of the Raman signal, they profit from extremely high signal uniformity. Thus, these sensors may find application in routine detection of organic molecules using portable Raman equipment, analytes quantification^{57, 58} or catalytic studies.^{17, 33} On the other hand, systems composed by overgrown Au NPs covered with mesoporous TiO₂ require low acquisition times and can achieve detection limits as low as 10 pM, but present the limitation of lower signal uniformity. These sensors have already demonstrated application for detection in complex media, taking advantage of the filtering ability of mesoporous oxides,^{12, 34-35} and their use for ultradetection can also be envisioned. The relative high signal fluctuation might be compensated by averaging a higher number of spots.

In conclusion, careful comparison between SERS sensor materials based on Au NPs and mesoporous thin films should allow interested users to select the most appropriate configuration for each desired application.

SUPPORTING INFORMATION

Spectra of probe degradation with different laser power intensities. Au15dY/TF, Au35dY/TF and AuZRS@TF systems characterization. XRR data analysis and results. EDS analysis. Enhancement factor calculations. Signal dependence with acquisition time. SERS signal spatial distribution for AuZRS@TF samples. pNTP limits of detection with SERS spectra.

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